

# Bubblesort and permutations

Mike Atkinson

Michael Albert, Mathilde Bouvel, Anders Claesson, Mark Dukes

New Zealand Mathematical Society Colloquium

December 2010



# Outline of talk

- 1 Permutations and Pattern classes: basic concepts
- 2 One pass bubblesort
- 3 Results and applications

# Permutations

- A permutation of length  $n$  is an arrangement of  $1, 2, \dots, n$  (one-line notation, not cycle notation)

# Permutations

- A permutation of length  $n$  is an arrangement of  $1, 2, \dots, n$  (one-line notation, not cycle notation)
- Example: 528639714

# Permutations

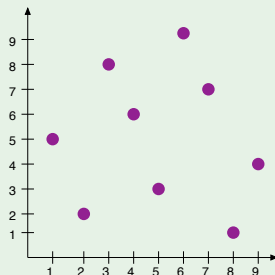
- A permutation of length  $n$  is an arrangement of  $1, 2, \dots, n$  (one-line notation, not cycle notation)
- Example: 528639714
- Conveniently displayed as a graph

# Permutations

- A permutation of length  $n$  is an arrangement of  $1, 2, \dots, n$  (one-line notation, not cycle notation)
- Example: 528639714
- Conveniently displayed as a graph

## Example

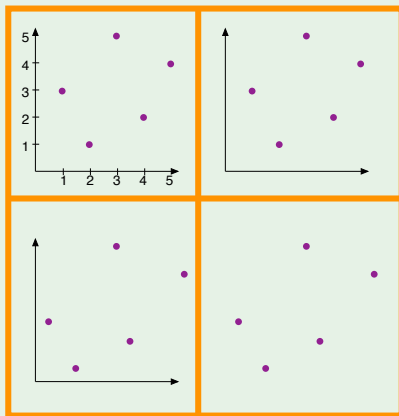
The graph of 528639714



# The cardinal sin: unlabeled axes

## Example

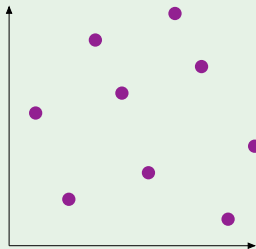
Increasingly sloppy graphs of 31524



# Subpermutations

## Example

The graph of 528639714

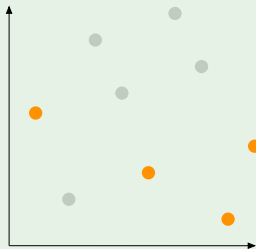




# Subpermutations

## Example

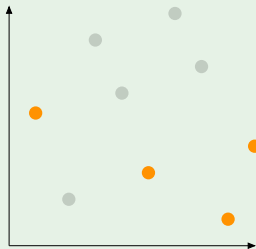
The graph of **528639714** and subpermutation **4213**



# Subpermutations

## Example

The graph of **528639714** and subpermutation **4213**



**4213** is a subpermutation of **528639714**.

## Notation

$4213 \preceq 528639714$  and  $54321 \not\preceq 528639714$

The  $\preceq$  relation is a partial order on the set of all permutations

# Pattern classes

## Definition

A *pattern class* is a set of permutations closed under taking subpermutations (down-set in the partial order)

Every pattern class  $\mathcal{X}$  can be defined by a set of avoided permutations.

## Notation

$\text{Av}(B)$ : the pattern class defined by avoiding permutations in the set  $B$ .

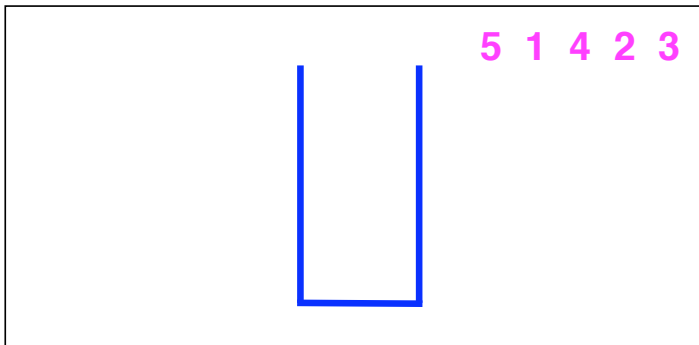
## Main issues

- Given a pattern class realise it in the form  $\text{Av}(B)$  for some set  $B$
- Give structural description of permutations in some given  $\text{Av}(B)$
- Count them of each length

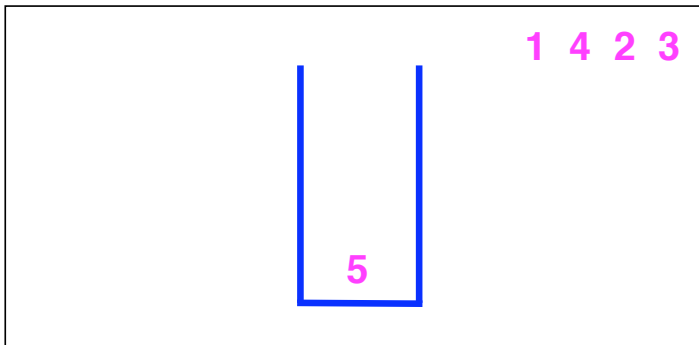
## Example 1: all identity permutations

The set of all identity permutations  $\{1, 12, 123, 1234, \dots\}$  forms the pattern class  $A_V(21)$ . It has 1 permutation of every length  $n$ .

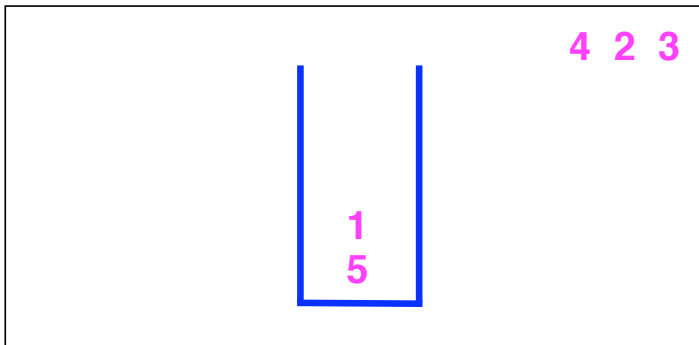
## Example 2: The one pass Stacksort operator



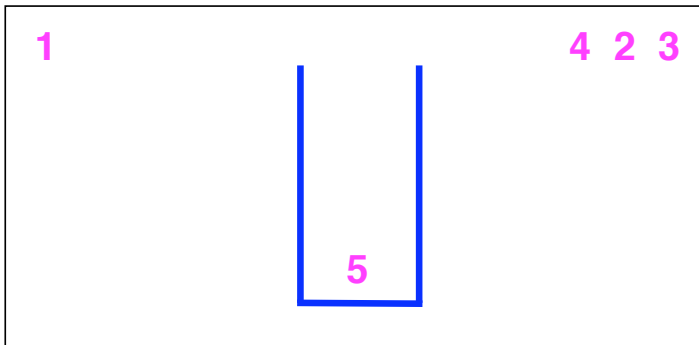
## Example 2: The one pass Stacksort operator



## Example 2: The one pass Stacksort operator

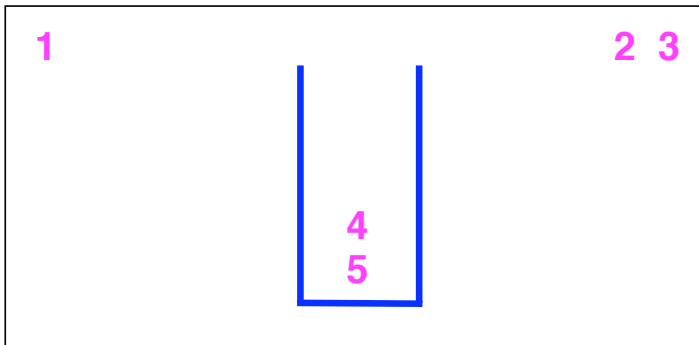


## Example 2: The one pass Stacksort operator

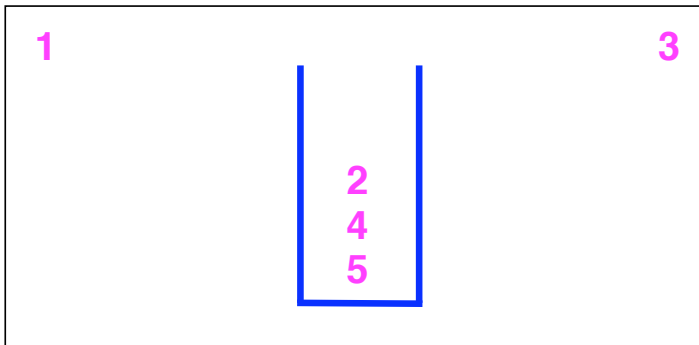




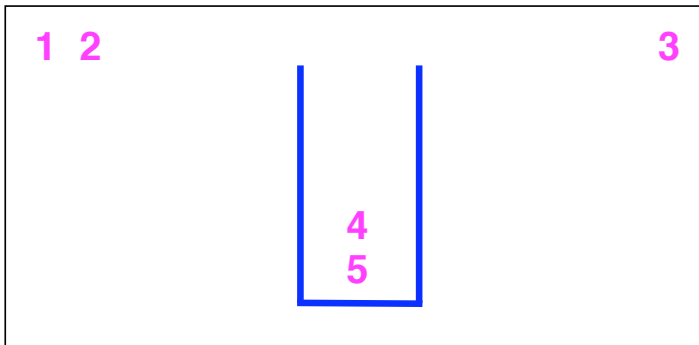
## Example 2: The one pass Stacksort operator



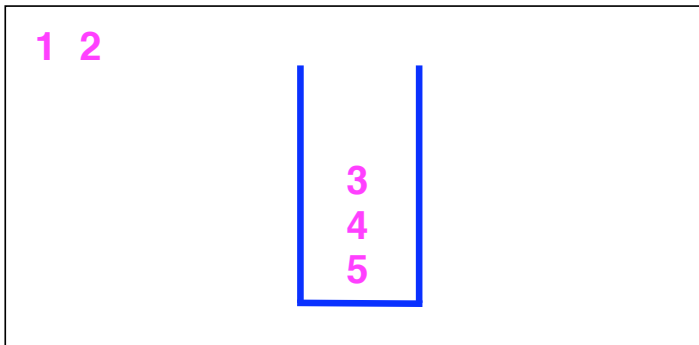
## Example 2: The one pass Stacksort operator



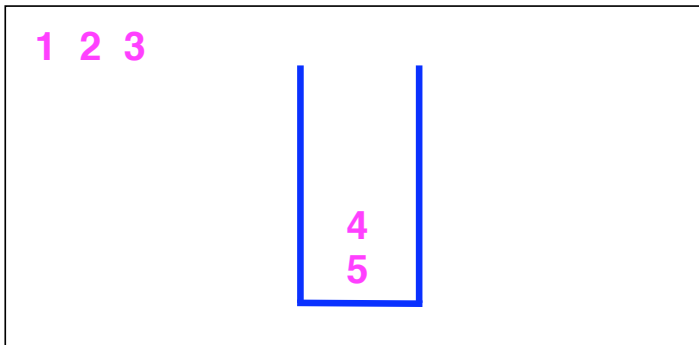
## Example 2: The one pass Stacksort operator



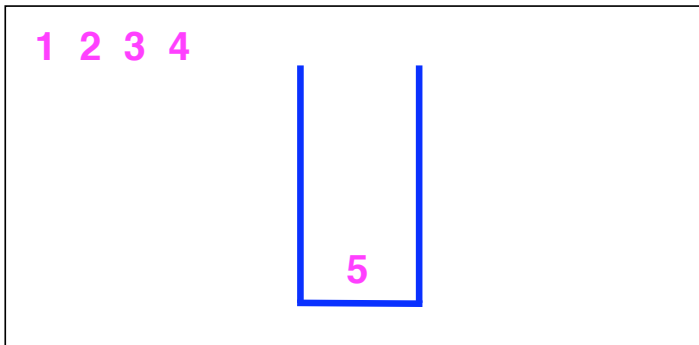
## Example 2: The one pass Stacksort operator



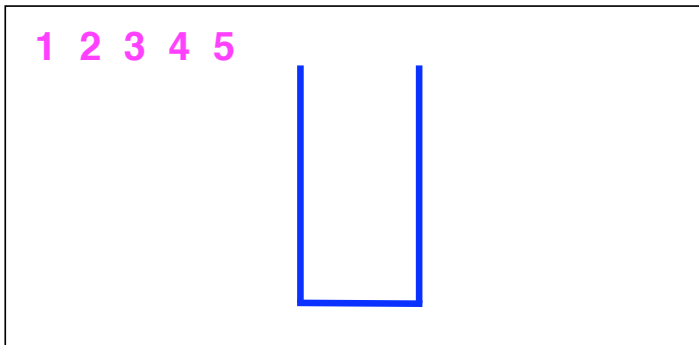
## Example 2: The one pass Stacksort operator



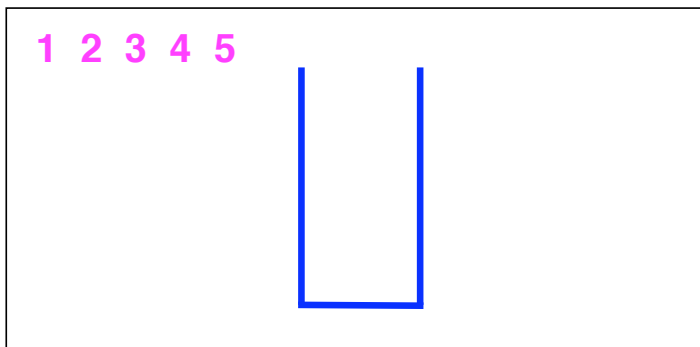
## Example 2: The one pass Stacksort operator



## Example 2: The one pass Stacksort operator



## Example 2: The one pass Stacksort operator



The stack-sortable permutations form the pattern class  $\text{Av}(231)$ . It has  $\frac{\binom{2n}{n}}{n+1}$  permutations of length  $n$



$A_v(4312, 2143)$  – movie of length 13 permutations

## The Bubblesort operator $B$

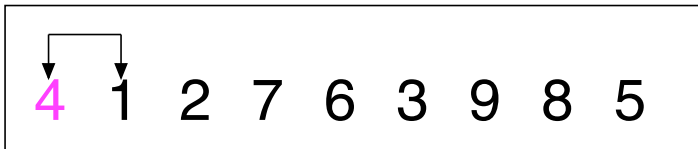
- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



4 1 2 7 6 3 9 8 5

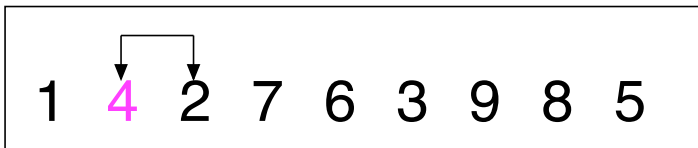
## The Bubblesort operator $B$

- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



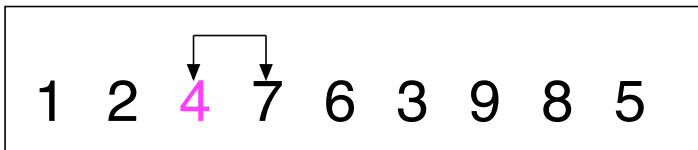
## The Bubblesort operator $B$

- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



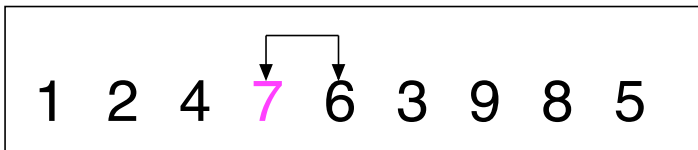
## The Bubblesort operator $B$

- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



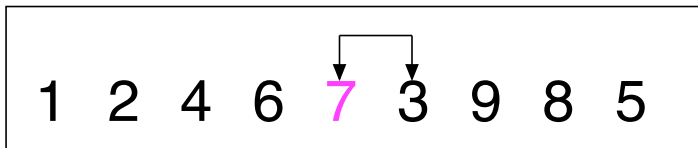
## The Bubblesort operator $B$

- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



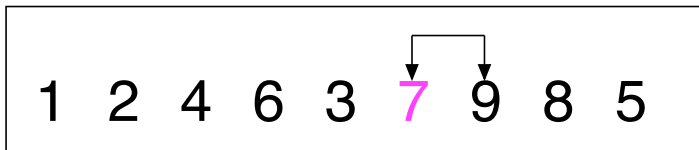
## The Bubblesort operator $B$

- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



## The Bubblesort operator $B$

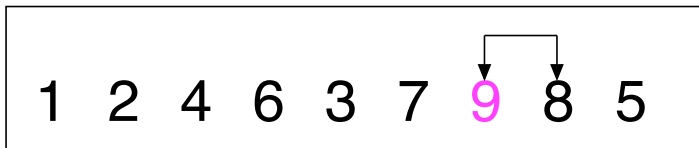
- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan





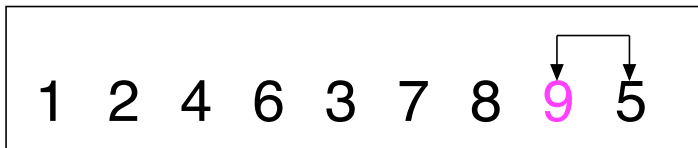
## The Bubblesort operator $B$

- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



## The Bubblesort operator $B$

- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



## The Bubblesort operator $B$

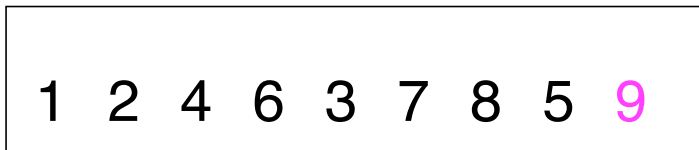
- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



1 2 4 6 3 7 8 5 9

## The Bubblesort operator $B$

- 1 Bubblesort is an inefficient sorting algorithm that proceeds in a number of passes.
- 2 Each pass is a left to right scan



The effect  $\sigma \rightarrow B(\sigma)$  of one pass is given by

$$B(\epsilon) = \epsilon \text{ and}$$

$$B(\alpha n \beta) = B(\alpha) \beta n$$

## $B$ sorts a pattern class

- 1 In general we need  $n$  passes to sort a permutation of length  $n$
- 2 But  $B$  (applied once) sorts a small number of permutations
- 3 The set sortable by  $B$  is  $A_V(231, 321)$

### Theorem

$$B^{-1}(A_V(21)) = A_V(231, 321)$$

## A general question

Formally generalising the statement of this theorem:

### Question

- 1 *For an arbitrary pattern class  $A_V(\Pi)$  what is  $B^{-1}(A_V(\Pi))$ ?*
- 2 *Is it even a pattern class? If so, which pattern class is it?*

An answer would enable the study of composing  $B$  with other sorting operators.

## Left to right maxima

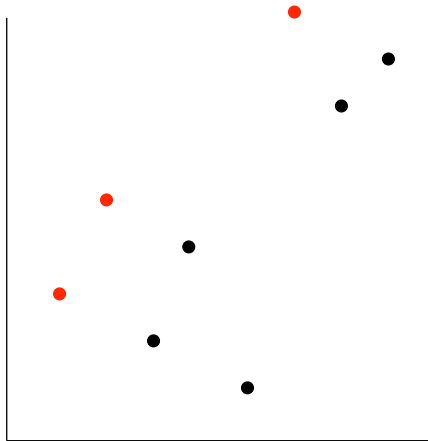


Figure: 352419786 has 3 left to right maxima

# Theorems

## Definition

A permutation is *good* if it either has at most 2 left to right maxima, or has 3 left to right maxima and ends with its largest term.



# Theorems

## Definition

A permutation is *good* if it either has at most 2 left to right maxima, or has 3 left to right maxima and ends with its largest term.

## Theorem

If  $\Pi = \{\pi\}$  then  $B^{-1}(A_V(\Pi))$  is a pattern class if and only if  $\pi$  is good.

# Theorems

## Definition

A permutation is *good* if it either has at most 2 left to right maxima, or has 3 left to right maxima and ends with its largest term.

## Theorem

*If  $\Pi = \{\pi\}$  then  $B^{-1}(A_V(\Pi))$  is a pattern class if and only if  $\pi$  is good.*

## Theorem

*If  $\Pi$  consists of good permutations then  $B^{-1}(A_V(\Pi))$  is a pattern class. Moreover we have  $B^{-1}(A_V(\Pi)) = A_V(\Sigma)$  for some set  $\Sigma$  that is explicitly computable in terms of  $\Pi$  – but this margin. . .*

## Example application

### Question

*How many permutations of length  $n$  can be sorted by one pass of Bubblesort followed by one pass of Stacksort?*

- Stacksort is another simple sorting method: the permutations that it can sort form the pattern class  $Av(231)$ .

## Example application

### Question

*How many permutations of length  $n$  can be sorted by one pass of Bubblesort followed by one pass of Stacksort?*

- Stacksort is another simple sorting method: the permutations that it can sort form the pattern class  $A_V(231)$ .
- Hence the set we are interested in is  $B^{-1}(A_V(231))$ .

## Example application

### Question

*How many permutations of length  $n$  can be sorted by one pass of Bubblesort followed by one pass of Stacksort?*

- Stacksort is another simple sorting method: the permutations that it can sort form the pattern class  $A_V(231)$ .
- Hence the set we are interested in is  $B^{-1}(A_V(231))$ .
- The explicit forms of the theorem above tells us that  $B^{-1}(A_V(231)) = A_V(3241, 2341, 4231, 2431)$

## Example application

### Question

*How many permutations of length  $n$  can be sorted by one pass of Bubblesort followed by one pass of Stacksort?*

- Stacksort is another simple sorting method: the permutations that it can sort form the pattern class  $A_V(231)$ .
- Hence the set we are interested in is  $B^{-1}(A_V(231))$ .
- The explicit forms of the theorem above tells us that  $B^{-1}(A_V(231)) = A_V(3241, 2341, 4231, 2431)$
- Now a calculation that is quite commonplace in this subject shows that this set has  $\binom{2n-2}{n-1}$  permutations of each length  $n$ .

# Lots more questions

- 1 Many other sorting algorithms sort in phases – we can ask what permutations are sortable by a single phase – when is it a pattern class?
- 2 Any answers then allow us to investigate the permutations sortable by combinations of phases from different sorting algorithms

## Lots more questions

- 1 Many other sorting algorithms sort in phases – we can ask what permutations are sortable by a single phase – when is it a pattern class?
- 2 Any answers then allow us to investigate the permutations sortable by combinations of phases from different sorting algorithms

Thank you for listening