## Cyclic Increasing Sequences

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## Outline of talk

(1) Longest increasing subsequences
(2) Finding the LIS

3 Longest increasing circular subsequences
(4) LICS algorithm
(5) Expected length of LICS

## Increasing subsequences

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Longest increasing subsequences

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- $123 \cdots n$ : LIS has length $n$
- $n n-1 \cdots 2$ 1: LIS has length 1
- What is typical?
- (Ulam, 1960's) Given a (uniformly) random arrangement of $1,2, \ldots, n$ what is the expected length $\mu_{n}$ of its longest increasing subsequence?


## The expected length $\mu_{n}$ of the LIS: history

- Ulam conjectured that

$$
K_{\text {LIS }}=\lim _{n \rightarrow \infty} \frac{\mu_{n}}{\sqrt{n}}
$$

exists; i.e. $\mu_{n} \sim K_{\text {LIS }} \sqrt{n}$.

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- Hammersley (1972): proved K KIS exists


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- Logan and Shepp (1977): proved $K_{\text {LIS }} \geq 2$


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- Baik, Deift, and Johansson (1999): found the complete limiting distribution in terms of the Tracey-Widom distribution. From this can be computed the higher moments including the standard deviation $\sigma_{n}$.


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- 

$$
\begin{aligned}
\mu_{n} & =2 n^{1 / 2}-\gamma n^{1 / 6}+o\left(n^{1 / 6}\right) \\
\sigma_{n} & =\delta n^{1 / 6}+o\left(n^{1 / 6}\right)
\end{aligned}
$$

where $\gamma=1.711$ and $\delta=0.902$.

## The LIS algorithm: Schensted (1961)

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What does "best" mean?
Most easily extended - i.e. having smallest last term.
Often just need the final term of a best IS.

## Example: LIS of $25,30,18,20,56,21,45,17,40,15,43,16$



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|  | 25 | 30 | 18 | 20 | 56 | 21 | 45 | 17 | 40 | 15 | 43 | 16 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 |  |  |  |  |  |  |  |  |  |  |
| 2 |  | 30 |  |  |  |  |  |  |  |  |  |  |
| 3 |  |  |  |  |  |  |  |  |  |  |  |  |
| 4 |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |

## Example: LIS of 25, 30, 18, 20, 56, 21, 45, 17, 40, 15, 43, 16

|  | 25 | 30 | 18 | 20 | 56 | 21 | 45 | 17 | 40 | 15 | 43 | 16 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 |  |  |  |  |  |  |  |  |  |
| 2 |  | 30 | 30 |  |  |  |  |  |  |  |  |  |
| 3 |  |  |  |  |  |  |  |  |  |  |  |  |
| 4 |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |

## Example: LIS of 25, 30, 18, 20, 56, 21, 45, 17, 40, 15, 43, 16

|  | 25 | 30 | 18 | 20 | 56 | 21 | 45 | 17 | 40 | 15 | 43 | 16 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 | 18 |  |  |  |  |  |  |  |  |
| 2 |  | 30 | 30 | 20 |  |  |  |  |  |  |  |  |
| 3 |  |  |  |  |  |  |  |  |  |  |  |  |
| 4 |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |

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|  | 25 | 30 | 18 | 20 | 56 | 21 | 45 | 17 | 40 | 15 | 43 | 16 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 | 18 | 18 |  |  |  |  |  |  |  |
| 2 |  | 30 | 30 | 20 | 20 |  |  |  |  |  |  |  |
| 3 |  |  |  |  | 56 |  |  |  |  |  |  |  |
| 4 |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |

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|  | 25 | 30 | 18 | 20 | 56 | 21 | 45 | 17 | 40 | 15 | 43 | 16 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 | 18 | 18 | 18 |  |  |  |  |  |  |
| 2 |  | 30 | 30 | 20 | 20 | 20 |  |  |  |  |  |  |
| 3 |  |  |  |  | 56 | 21 |  |  |  |  |  |  |
| 4 |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |

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|  | 25 | 30 | 18 | 20 | 56 | 21 | 45 | 17 | 40 | 15 | 43 | 16 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 | 18 | 18 | 18 | 18 |  |  |  |  |  |
| 2 |  | 30 | 30 | 20 | 20 | 20 | 20 |  |  |  |  |  |
| 3 |  |  |  |  | 56 | 21 | 21 |  |  |  |  |  |
| 4 |  |  |  |  |  |  | 45 |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |

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|  | 25 | 30 | 18 | 20 | 56 | 21 | 45 | 17 | 40 | 15 | 43 | 16 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 | 18 | 18 | 18 | 18 | 17 |  |  |  |  |
| 2 |  | 30 | 30 | 20 | 20 | 20 | 20 | 20 |  |  |  |  |
| 3 |  |  |  |  | 56 | 21 | 21 | 21 |  |  |  |  |
| 4 |  |  |  |  |  |  | 45 | 45 |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 | 18 | 18 | 18 | 18 | 17 | 17 |  |  |  |
| 2 |  | 30 | 30 | 20 | 20 | 20 | 20 | 20 | 20 |  |  |  |
| 3 |  |  |  |  | 56 | 21 | 21 | 21 | 21 |  |  |  |
| 4 |  |  |  |  |  |  | 45 | 45 | 40 |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |

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|  | 25 | 30 | 18 | 20 | 56 | 21 | 45 | 17 | 40 | 15 | 43 | 16 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 | 18 | 18 | 18 | 18 | 17 | 17 | 15 |  |  |
| 2 |  | 30 | 30 | 20 | 20 | 20 | 20 | 20 | 20 | 20 |  |  |
| 3 |  |  |  |  | 56 | 21 | 21 | 21 | 21 | 21 |  |  |
| 4 |  |  |  |  |  |  | 45 | 45 | 40 | 40 |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 | 18 | 18 | 18 | 18 | 17 | 17 | 15 | 15 |  |
| 2 |  | 30 | 30 | 20 | 20 | 20 | 20 | 20 | 20 | 20 | 20 |  |
| 3 |  |  |  |  | 56 | 21 | 21 | 21 | 21 | 21 | 21 |  |
| 4 |  |  |  |  |  |  | 45 | 45 | 40 | 40 | 40 |  |
| 5 |  |  |  |  |  |  |  |  |  |  | 43 |  |

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| 1 | 25 | 25 | 18 | 18 | 18 | 18 | 18 | 17 | 17 | 15 | 15 | 15 |
| 2 |  | 30 | 30 | 20 | 20 | 20 | 20 | 20 | 20 | 20 | 20 | 16 |
| 3 |  |  |  |  | 56 | 21 | 21 | 21 | 21 | 21 | 21 | 21 |
| 4 |  |  |  |  |  |  | 45 | 45 | 40 | 40 | 40 | 40 |
| 5 |  |  |  |  |  |  |  |  |  |  | 43 | 43 |

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 25 | 25 | 18 | 18 | 18 | 18 | 18 | 17 | 17 | 15 | 15 | 15 |
| 2 |  | 30 | 30 | 20 | 20 | 20 | 20 | 20 | 20 | 20 | 20 | 16 |
| 3 |  |  |  |  | 56 | 21 | 21 | 21 | 21 | 21 | 21 | 21 |
| 4 |  |  |  |  |  |  | 45 | 45 | 40 | 40 | 40 | 40 |
| 5 |  |  |  |  |  |  |  |  |  |  | 43 | 43 |

The LIS has length 5 and is $18,20,21,40,43$

## Analysis

- Only store the last column
- New last column obtained from old by binary search and insertion
- Some back pointers to actually construct the result
- Total time $O(n \log n)$


## The Longest Increasing Circular Subsequence (LICS)

$25,30,18,20,56,21,45,17,40,15,43,16$ in a circle.


The LICS is not $18,20,21,40,43$ but is $15,16,18,20,21,45$

## LICS versus LIS

If $\pi$ is a permutation then

- $|\operatorname{LIS}(\pi)| \leq|\operatorname{LICS}(\pi)| \leq 2|\operatorname{LIS}(\pi)|$
- Upper bound attained for $\pi=k+1, k+2, \ldots, 2 k, 1,2, \ldots, k$
- Lower bound attained for $\pi=1,2, \ldots, n$


## How do we find the LICS?

- We could run the LIS algorithm on the $n$ different ways of regarding the circular sequence as a linear sequence.


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- We could run the LIS algorithm on the $n$ different ways of regarding the circular sequence as a linear sequence.
- That takes time $O\left(n^{2} \log n\right)$
- Can we do better?


## Why would we be interested in the LICS?

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Recent applications of LIS detection algorithms in matching gene sequences, and some bacteria have apparently circular sequences; special case of general permutation pattern class problems, guff, guff, guff....

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"Because it's there"
Recent applications of LIS detection algorithms in matching gene sequences, and some bacteria have apparently circular sequences; special case of general permutation pattern class problems, guff, guff, guff....

## Passing through

## Lemma

If $t_{1}, \ldots, t_{m}$ is the final column of the LIS algorithm then there is an LICS that contains at least one of these terms.

## There and back again

We can find the LICS in time $O(n \log n)$ if we know any term that it contains.

Search left to right for
longest increasing sequences
in each initial segment


Terms bigger than $\mathrm{X}_{\mathrm{n}}$


Terms smaller than $\mathrm{X}_{\mathrm{n}}$


Search right to left for longest decreasing sequences in each final segment

## There and back again

We can find the LICS in time $O(n \log n)$ if we know any term that it contains.

Terms bigger than $\mathrm{X}_{\mathrm{n}}$
$\mathrm{x}_{1}, \mathrm{x}_{2}, \ldots \quad \ldots \mathrm{x}_{\mathrm{n}}$

$$
\mathrm{X}_{1}, \mathrm{X}_{2}, \ldots \quad \ldots \mathrm{X}_{\mathrm{n}}
$$

Terms smaller than $\mathrm{X}_{\mathrm{n}}$


## Algorithm for the LICS

- Run the LIS algorithm and find the last column $t_{1}, \ldots, t_{m}$


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- Run the LIS algorithm and find the last column $t_{1}, \ldots, t_{m}$
- Find the longest increasing circular sequence through each $t_{i}$ (2m applications of LIS algorithm)
- Return the longest of these


## The execution time

## Theorem

The expected execution time of the LICS algorithm is $O(n \sqrt{n} \log n)$.

## Proof.

The expected value of $m$ is $2 \sqrt{n}$. There are $2 m+1$ applications of the LIS algorithm each taking time $O(n \log n)$.

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- All the implied $O$ constants are small.


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## Proof.

The expected value of $m$ is $2 \sqrt{n}$. There are $2 m+1$ applications of the LIS algorithm each taking time $O(n \log n)$.

- All the implied $O$ constants are small.
- This is a practical algorithm


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(1) Run LIS and get last column $t_{1}, \ldots, t_{m}$.


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(1) Run LIS and get last column $t_{1}, \ldots, t_{m}$.
(2) If $m \leq 3 \sqrt{n}$ proceed as above. Otherwise
$|\operatorname{LICS}(\pi)| \geq|\operatorname{LIS}(\pi)|=m>3 \sqrt{n}$


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(1) Run LIS and get last column $t_{1}, \ldots, t_{m}$.
(2) If $m \leq 3 \sqrt{n}$ proceed as above. Otherwise $|\operatorname{LICS}(\pi)| \geq|\operatorname{LIS}(\pi)|=m>3 \sqrt{n}$
(3) Make random guesses for a term $s$ in $\operatorname{LICS}(\pi)$; run "There and back" on such terms.


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(9) Return longest sequence found.


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$|\operatorname{LICS}(\pi)| \geq|\operatorname{LIS}(\pi)|=m>3 \sqrt{n}$
(3) Make random guesses for a term $s$ in $\operatorname{LICS}(\pi)$; run "There and back" on such terms.
(9) Return longest sequence found.
- If we make more than $-\sqrt{n} \ln (\epsilon) / 3$ guesses the probability that none of them lie in the LICS is less than $\epsilon$.


## Lies, damned lies and statistics

10000 random trials gave estimates $\mu_{n}$-est. for $\mu_{n}$ for various $n$.

| $n$ | 10000 | 12000 | 14000 | 16000 | 18000 | 20000 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mu_{n}$-est. | 200.1 | 219.3 | 237.0 | 253.4 | 268.8 | 283.4 |

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mu_{n}$-est. | 200.1 | 219.3 | 237.0 | 253.4 | 268.8 | 283.4 |
| $2 \sqrt{n}$ | 200.0 | 219.1 | 236.7 | 253.0 | 268.3 | 282.8 |

It appears that $\mu_{n}$ is much closer to $2 \sqrt{n}$ than it was in the linear case. But all we can prove is...

The mean of the LICS

## Theorem

$$
\lim _{n \rightarrow \infty} \frac{\mu_{n}}{\sqrt{n}}=2
$$

